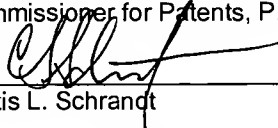


U.S. PATENT & TRADEMARK OFFICE
DEC 31 2003

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as First Class mail, postage prepaid, in an envelope addressed to "MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on December 29, 2003.


Curtis L. Schrandt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYKHMAN, Dmitry A.

APPLICATION NO. : 09/415,392

DATE FILED : 10/08/99

FOR : REAL-TIME COMMODITY TRADING METHOD
AND APPARATUS

GROUP ART UNIT : 3627

EXAMINER : McCLELLAN, James S.

Commissioner for Patents
P.O. 1450
Alexandria, VA 22313-1450

RECEIVED
JAN 06 2004
GROUP 3600

NOTICE OF APPEAL FROM THE EXAMINER TO THE
BOARD OF PATENT APPEALS AND INTERFERENCES

S I R:

Applicant hereby appeals to the Board of Appeals from the decision dated July 2, 2003
rejecting claims:

1-8, 10-13, 16-~~25~~ and 55-66

The item(s) checked below are appropriate:

1. ☒ Appeal Fee (37 CFR 1.17(a))

☐ Other than small entity \$330.00

☒ Small entity \$165.00

☐ Verified statement attached

01/02/2004 HVUONG1 00000067 09415392

02 FC:2401

165.00 OP

D21-001
09/415,392

☐ Verified statement was filed

2. ☒ A petition and fee for an extension of time for reply to the rejection is attached.
Extension fee (37 CFR 1.17(a)-(c))

☐ Other than small entity

☐ \$110

☐ \$420

☐ \$950

☐ \$1480

☒ Small entity

☐ Verified statement attached

☐ Verified statement was filed

☒ \$55

☐ \$210

☐ \$475

☐ \$740

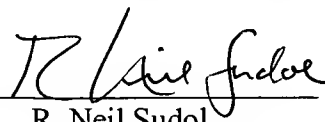
3. ☒ Check attached for the sum of \$220.00

4. ☒ Please charge any additional fees due in connection with this Notice of Appeal to Deposit Account Number 04-0838. A duplicate copy of this Notice is enclosed.

Respectfully submitted,

COLEMAN SUDOL SAPONE, P.C

Dated: December 29, 2003

By: 
R. Neil Sudol
Reg. No. 31,669

714 Colorado Avenue
Bridgeport, CT 06605-1601
(203) 366-3560